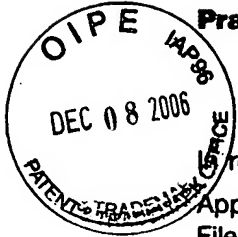


IFW  
Receipt

Practitioner's Docket No. LUC-016**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Re application of: POOPATHY KATHIRGAMANATHAN ET AL.

Application No.: 10/549,430      Group No.: 450

Filed: Sept. 15, 2005      Examiner:

For: ELECTROLUMINESCENT BORON COMPLEXES

**Mail Stop Missing Parts**  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**REQUEST FOR CORRECTED FILING RECEIPT**

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

NOTE: The PTO will not correct the filing receipt until the application is complete (in other words, the applicant files a response to the notice to file missing parts).

2. There is an error with respect to the following data, which is:

☒ incorrectly entered

and/or

☐ omitted.

**CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\***

(When using Express Mail, the Express Mail label number is mandatory;  
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

**MAILING**

☒ deposited with the United States Postal Service in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

37 C.F.R. § 1.8(a)

37 C.F.R. § 1.10 \*

☒ with sufficient postage as first class mail.

☐ as "Express Mail Post Office to Addressee"

Mailing Label No. \_\_\_\_\_ (mandatory)

**TRANSMISSION**

☐ facsimile transmitted to the Patent and Trademark Office, (571) 273-8300.

Leslie R. Silverstein  
Signature

Date: DEC. 5, 2006

LESLIE R. SILVERSTEIN

(type or print name of person certifying)the

\* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

- | <b>Error in</b>   | <b>Correct data</b> |
|---|---------------------|
| 1. <input checked="" type="checkbox"/> Applicant's name | 1. See below        |
| 2. <input type="checkbox"/> Applicant's address         | 2.                  |
| 3. <input type="checkbox"/> Title                       | 3.                  |
| 4. <input type="checkbox"/> Filing Date                 | 4.                  |
| 5. <input type="checkbox"/> Application Number          | 5.                  |
| 6. <input type="checkbox"/> Foreign/PCT Application Re: | 6.                  |
| 7. <input type="checkbox"/> Other                       | 7.                  |

A COPY OF THE INCORRECT PTO FILING RECEIPT SHOWING THE  
CORRECTION IN RED PEN IS ATTACHED.

THE CORRECT SPELLING OF THE INVENTORS NAMES IS:

ARUMUGAM PARTHEEPAN

SIVAGNANASUNDRAM SURENDRAKUMAR

Reg. No.: 26,336

Tel. No.: ( 978 ) 470-0990

Customer No.:



**SIGNATURE OF PRACTITIONER**

DAVID SILVERSTEIN

*(type or print name of practitioner)*

ANDOVER-IP-LAW

**P.O. Address**

44 PARK STREET, SUITE 300  
ANDOVER, MA 01810



## UNITED STATES PATENT AND TRADEMARK OFFICE

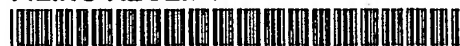
UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/549,430	09/15/2005	1774	450	LUC-016	27	20	1

CONFIRMATION NO. 9460

David Silverstein  
Andover IP Law  
Suite 300  
44 Park Street  
Andover, MA 01810

## FILING RECEIPT



\*OC000000021278774\*

COPY

Date Mailed: 11/21/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

✓ Poopathy Kathirgamanathan, North Harrow, UNITED KINGDOM;  
Matthew Samuel Kirkham, Twickenham, UNITED KINGDOM;  
Alexander Kit Lay, Reading, UNITED KINGDOM;  
Subramaniam Ganeshamurugan, London, UNITED KINGDOM;  
Gnanamoly Paramaswara, London, UNITED KINGDOM;  
✓ Muttulingam Kumaravel, Middlesex, UNITED KINGDOM;  
Arumugam Parthespahan, Surrey, UNITED KINGDOM;  
Selvadurai Selvarahjan, Surrey, UNITED KINGDOM;  
Juan Antipan-Lara, London, UNITED KINGDOM;  
✓ Richard Price, London, UNITED KINGDOM;  
Sivagnanasundaram Surendrakumar, Middlesex, UNITED KINGDOM;

## Power of Attorney:

David Silverstein-26336

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/GB04/01079 03/12/2004

## Foreign Applications

UNITED KINGDOM 0306097.7 03/15/2003

If Required, Foreign Filing License Granted: 11/17/2006

The country code and number of your priority application, to be used for filing abroad under the Paris

Convention, is **US10/549,430**

Projected Publication Date: 03/01/2007

Non-Publication Request: No

Early Publication Request: No

**\*\* SMALL ENTITY \*\***

Title

Electroluminescent boron complexes

Preliminary Class

428

## **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).